Particle Acceleration in (relativistic) Shearing Flows

Frank M. Rieger

CDY Spring Series February 8, 2023









Max Planck Institut für Kernphysik Heidelberg, Germany

Outline: Particle Acceleration in (relativistic) Shearing Flows

- Astrophysical Motivation & Exemplary Context
 - Large-scale Jets in AGN
 - Origin of extended high-energy emission
 - Ubiquity of Shearing flows
- Shear Particle Acceleration
 - Focus on stochastic Fermi-type acceleration (basic idea)
 - Particle transport, acceleration and power-law formation
 - Modelling electron shear acceleration in large-scale jets
 - On UHECR acceleration in shearing AGN flows
 - Summary

Exemplary Astrophysical Context

Large-scale Jets in Active Galaxies

- relativistic jets & hot spots & back flows
- flow Lorentz factors $\Gamma \sim (1.5 10)$
- ▶ spatial dimension up to several 100 kpc ~ 10⁶ lyr
- laminar appearance (though high fluid Reynolds numbers, Re = ρ u L/ μ > 10¹⁰)



(credits: NRAO/AUI, A. Bridle)

On ultra-relativistic electrons in AGN Jets I

_Example: High-Energy Emission from large-scale jets

- extended X-ray electron synchrotron emission
- needs electron Lorentz factors $\gamma_e \sim 10^8$
- ▶ short cooling timescale $t_{cool} \propto 1/\gamma_e$; cooling length c $t_{cool} << kpc$
- distributed acceleration mechanism required (Sun, Yang, FR, Liu & Aharonian 2018 for M87)





On ultra-relativistic electrons in AGN Jets II



VHE emission along the kpc-jet of Cen A

- Inverse Compton up-scattering of dust by ultra-relativistic electrons with γ_e = 108
- verifies X-ray synchrotron interpretation
- continuous re-acceleration required to avoid rapid cooling

On ultra-relativistic electrons in AGN Jets II



VHE emission along the kpc-jet of Cen A

- Inverse Compton up-scattering of dust by ultrarelativistic electrons with $\gamma_e = 10^8$
- verifies X-ray synchrotron interpretation
- continuous re-acceleration required to avoid rapid cooling



(H.E.S.S. Collab. 2020, Nature)

Parameters: ECBPL: α_1 =2.30, α_2 =3.85, χ_b = 1.4 x 10⁶, χ_c =10⁸, B=23µG, W_{tot} = 4 x 10⁵³ erg

Phenomenological Context

large-scale jets as possible UHECR accelerators (Hillas)

(e.g., Aharonian, Belyanin, Derishev+ 2002)

Cosmic Ray Spectrum



Energies and rates of the cosmic-ray particles



What are shearing flows ?



Jokipii & Morfill; Ostrowski; Kimura+....

8

On the naturalness of velocity shears in AGN...

- ► Jet origin: BH-driven (BZ) jet & disk-driven (BP) outflow... (e.g., Mizuno 2022)
- Jet propagation: instabilities, mixing, layer formation... (e.g., Perucho 2019)
- Jet observations: limb-brightening & polarisation signatures... (e.g., Kim+ 2018)
 - ▶ M87: significant structural patterns on sub-pc scales
 ⇒ presence of both slow (~0.5c) and fast (~0.92c) components....
 [similar indications in Cen A, cf. EHT observations in Janssen+ 2021]



Fermi-type Particle Acceleration

Kinematic effect resulting from scattering off magnetic inhomogeneities E. Fermi, Phys. Rev. 75, 578 [1949]

<u>Ingredients:</u> in frame of scattering centre

- momentum magnitude conserved
- particle direction randomised

_Characteristic energy change per scattering:



$$\Delta \epsilon = \epsilon_2 - \epsilon_1 = 2 \Gamma_s^2 \left(\epsilon_1 \, u_s^2 / c^2 - \overrightarrow{p_1} \cdot \overrightarrow{u_s} \right) \qquad p_1 \simeq \epsilon_1 / c$$

⇒ energy gain for head-on $(\vec{p}_1 \cdot \vec{u}_s < 0)$, loss for following collision $(\vec{p}_1 \cdot \vec{u}_s > 0)$

- ► 1. stochastic: average energy gain 2nd order: $<\Delta\epsilon > \propto (u_s/c)^2 \epsilon$
- ▶ II. shock: spatial diffusion, head-on collisions, gain <u>lst order</u>: $<\Delta\epsilon > \propto (u_s/c) \epsilon$

Stochastic Shear Particle Acceleration

> III. Non-gradual shear flow

Iike 2nd Fermi, stochastic process with average gain per cycle (crossing and recrossing):

$$<\Delta\epsilon>\sim\Gamma_{\Delta}^{2}\,\beta_{\Delta}^{2}\,\epsilon$$



with relative velocity $\beta_{\Delta} = (u_1 - u_2)/[(1 - u_1u_2/c^2)c]$

provided particle mean free path $\lambda > \Delta r$

Stochastic Shear Particle Acceleration

> III. Non-gradual shear flow

Iike 2nd Fermi, stochastic process with average gain per cycle (crossing and recrossing):

$$<\Delta\epsilon>\sim\Gamma_{\Delta}^2\,\beta_{\Delta}^2\,\epsilon$$



11

with relative velocity $\beta_{\Delta} = (u_1 - u_2)/[(1 - u_1u_2/c^2)c]$ provided particle mean free path $\lambda > \Delta r$

characteristic acceleration timescale:

$$t_{\rm acc} \simeq \frac{\epsilon}{(d\epsilon/dt)} \simeq \frac{\epsilon}{\langle \Delta \epsilon \rangle} t_c \propto \lambda$$

with cycle time t_c

Jokipii & Morfill 1990; Ostrowski 1998, 2000; Stawarz & Ostrowski 2002; FR & Duffy 2004; Kimura+2018...

Stochastic Shear Particle Acceleration (basic idea) I

- IV. **Gradual** shear flow with frozen-in scattering centres:
 - Iike 2nd Fermi, stochastic process with average gain: z

$$<\Delta\epsilon>\propto \left(\frac{u}{c}\right)^2\epsilon = \frac{1}{c^2}\left(\frac{\partial u_z}{\partial x}\right)^2\lambda^2\epsilon$$

 $ec{u} = u_z(x) \ ec{e_z}$

non-relativistic

$$\Delta x$$

using characteristic effective velocity:

$$u = \left(\frac{\partial u_z}{\partial x}\right)\lambda$$
 , where $\lambda = c\tau$ particle mean free path



Berezhko & Krymsky 1981; Berezhko 1982; Earl+ 1988; Webb 1989; Jokipii & Morfill 1990; Webb+ 1994; FR & Duffy 2004, 2006, 2016; Liu, FR & Aharonian 2017; Webb+ 2018, 2019; Lemoine 2019; FR & Duffy 2019, 2021....

Stochastic Shear Particle Acceleration (basic idea) I

- IV. **Gradual** shear flow with frozen-in scattering centres:
 - Iike 2nd Fermi, stochastic process with average gain:

$$<\Delta\epsilon>\propto \left(\frac{u}{c}\right)^2\epsilon = \frac{1}{c^2}\left(\frac{\partial u_z}{\partial x}\right)^2\lambda^2\epsilon$$



$$u = \left(\frac{\partial u_z}{\partial x} \right) \lambda$$
 , where $\lambda = c \tau$ particle mean free path

leads to:

$$t_{acc} = \frac{\epsilon}{(d\epsilon/dt)} \sim \frac{\epsilon}{\langle \Delta \epsilon \rangle} \times \frac{\lambda}{c} \propto \frac{1}{\lambda}$$

 \Rightarrow seeds from acceleration @ shock or stochastic...

 \Rightarrow easier for protons...(\Rightarrow UHECR)

Berezhko & Krymsky 1981; Berezhko 1982; Earl+ 1988; Webb 1989; Jokipii & Morfill 1990; Webb+ 1994; FR & Duffy 2004, 2006, 2016; Liu, FR & Aharonian 2017; Webb+ 2018, 2019; Lemoine 2019; FR & Duffy 2019, 2021....





non-relativistic

 $\vec{u} = u_z(x) \vec{e}_z$

Stochastic Shear Particle Acceleration (basic idea) II

Calculate Fokker Planck coefficients for particle travelling across shear $\mathbf{u}_z(\mathbf{x})$ with $\mathbf{p}_2 = \mathbf{p}_1 + \mathbf{m} \, \mathbf{\delta} \mathbf{u}$ where $\mathbf{\delta} \mathbf{u} = (\mathbf{d} \mathbf{u}_z/\mathbf{d} \mathbf{x}) \, \mathbf{\delta} \mathbf{x}$ and $\mathbf{\delta} \mathbf{x} = \mathbf{v}_{\mathbf{x}} \, \tau$, $\tau = \lambda/c$ $\Delta p := p_2 - p_1 \Rightarrow \begin{cases} \left\langle \frac{\Delta p}{\Delta t} \right\rangle \propto p \left(\frac{\partial u_z}{\partial x} \right)^2 \tau \\ \left\langle \frac{(\Delta p)^2}{\Delta t} \right\rangle \propto p^2 \left(\frac{\partial u_z}{\partial x} \right)^2 \tau \end{cases}$

 \Rightarrow detailed balance satisfied [scattering being reversible P(p, - Δp) = P(p- Δp , Δp)]

Stochastic Shear Particle Acceleration (basic idea) II

Calculate Fokker Planck coefficients for particle travelling across shear $\mathbf{u}_z(\mathbf{x})$ with $\mathbf{p}_2 = \mathbf{p}_1 + \mathbf{m} \, \delta \mathbf{u}$ where $\delta \mathbf{u} = (\mathbf{d}\mathbf{u}_z/\mathbf{d}\mathbf{x}) \, \delta \mathbf{x}$ and $\delta \mathbf{x} = \mathbf{v}_{\mathbf{x}} \, \tau$, $\tau = \lambda/c$ $\Delta p := p_2 - p_1 \Rightarrow \begin{cases} \left\langle \frac{\Delta p}{\Delta t} \right\rangle \propto p \left(\frac{\partial u_z}{\partial x} \right)^2 \tau \\ \left\langle \frac{(\Delta p)^2}{\Delta t} \right\rangle \propto p^2 \left(\frac{\partial u_z}{\partial x} \right)^2 \tau \end{cases}$

 \Rightarrow detailed balance satisfied [scattering being reversible P(p, - Δp) = P(p- Δp , Δp)]

Fokker Planck eq. reduces to momentum diffusion equation:

$$\frac{\partial f}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D \frac{\partial f}{\partial p} \right)$$

$$D = \frac{1}{15} \left(\frac{\partial u_z}{\partial x}\right)^2 p^2 \tau \propto p^{2+\alpha} \text{ for } \tau := \tau_0 p^{\alpha}$$

(cf. Jokipii & Morfill 1990; FR & Duffy 2006) 13

Stochastic Shear Particle Acceleration (basic idea) III

• Momentum-dependent part of the phase space distribution function f(t,p) obeys diffusion equation in momentum space:

$$\frac{\partial f(t,p)}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D(p) \frac{\partial f(t,p)}{\partial p} \right) + \dots$$

with $D(p) \propto \langle (\Delta p)^2 \rangle = D_0 p^{2+\alpha}$, $(\alpha \ge 0)$ momentum-space diffusion coefficient

Stochastic Shear Particle Acceleration (basic idea) III

• Momentum-dependent part of the phase space distribution function f(t,p) obeys diffusion equation in momentum space:

$$\frac{\partial f(t,p)}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D(p) \frac{\partial f(t,p)}{\partial p} \right) + \dots = (4+\alpha) \frac{D(p)}{p} \frac{\partial f(t,p)}{\partial p} + D(p) \frac{\partial^2 f(t,p)}{\partial p^2} + \dots \right)$$
change of mean energy broadening of distribution

with $D(p) \propto \langle (\Delta p)^2 \rangle = D_0 p^{2+\alpha}$, $(\alpha \ge 0)$ momentum-space diffusion coefficient

Stochastic Shear Particle Acceleration (basic idea) III

• Momentum-dependent part of the phase space distribution function f(t,p) obeys diffusion equation in momentum space:

$$\frac{\partial f(t,p)}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D(p) \frac{\partial f(t,p)}{\partial p} \right) + \dots = (4+\alpha) \frac{D(p)}{p} \frac{\partial f(t,p)}{\partial p} + D(p) \frac{\partial^2 f(t,p)}{\partial p^2} + \dots$$
change of mean energy broadening of distribution

with $D(p) \propto \langle (\Delta p)^2 \rangle = D_0 p^{2+\alpha}$, $(\alpha \ge 0)$ momentum-space diffusion coefficient

Example: Instantaneous injection at time t = 0 with $p_0 = 5$ for $\alpha = 0$



Stochastic Shear Particle Acceleration (basic idea) IV

Local power law formation above injection p_0 with index depending on scaling of particle mean free path: $n(p) \propto p^2 f(p) \propto p^{-(1+\alpha)}$ for $\alpha > 0$

- with $\tau = \tau_0 p^{\alpha}$, e.g. $\alpha = 1/3$ for Kolmogorov, or $\alpha = 1$ for Bohm-type
- characteristic for time-independent (steady-state) solution



(e.g., Berezhko 1982; FR & Duffy 2006) 15

Diffusive Particle transport



Full non-relativistic Particle Transport Equation (PTE)

Start from non-relativistic Boltzmann equation with simple BKG-type collision term

- use mixed system of phase-space coordinates
- Galilean trafo $p_i = p_i' + m u_i$ (background flow speed u_i , comoving p_i')
- diffusion approximation $f = f_0 + f_1$, with $\langle f_1 \rangle = 0$

$$\begin{split} \frac{\partial f_{0}}{\partial t} + u_{i} \frac{\partial f_{0}}{\partial x_{i}} &- \frac{p'}{3} \frac{\partial u_{i}}{\partial x_{i}} \frac{\partial f_{0}}{\partial p'} - \frac{\partial}{\partial x_{i}} \left(\frac{\tau}{3} \frac{p'^{2}}{m^{2}} \frac{\partial f_{0}}{\partial x_{i}}\right) \\ &+ \frac{2\tau p'}{3} A_{i} \frac{\partial^{2} f_{0}}{\partial x_{i} \partial p'} + \frac{1}{3p'^{2}} \frac{\partial (\tau p'^{3})}{\partial p'} A_{i} \frac{\partial f_{0}}{\partial x_{i}} \\ & \underset{\text{term}}{\text{term}} - \frac{\Gamma}{p'^{2}} \frac{\partial}{\partial p'} \left(\tau p'^{4} \frac{\partial f_{0}}{\partial p'}\right) + \frac{p'}{3} \frac{\partial (\tau A_{i})}{\partial x_{i}} \frac{\partial f_{0}}{\partial p'} = 0 \\ \Gamma = \frac{1}{30} \left(\frac{\partial u_{i}}{\partial x_{k}} + \frac{\partial u_{k}}{\partial x_{i}}\right)^{2} - \frac{2}{45} \frac{\partial u_{i}}{\partial x_{i}} \frac{\partial u_{k}}{\partial x_{k}} & (\text{Earl, Jokipii \& Morfill 1988;} \\ f. \ also Williams \& Jokipii 1991; FR 2001) \\ |A_{i} = \frac{\partial u_{i}}{\partial t} + u_{i} \frac{\partial u_{i}}{\partial x_{i}} & (\text{inertial drifts''}) \end{split}$$

Full non-relativistic Particle Transport Equation (PTE)

Start from non-relativistic Boltzmann equation with simple BKG-type collision term

- use mixed system of phase-space coordinates
- Galilean trafo $p_i = p_i' + m u_i$ (background flow speed u_i , comoving p_i')
- diffusion approximation $f = f_0 + f_1$, with $\langle f_1 \rangle = 0$



Full non-relativistic Particle Transport Equation (PTE)

Start from non-relativistic Boltzmann equation with simple BKG-type collision term

- use mixed system of phase-space coordinates
- Galilean trafo $p_i = p_i' + m u_i$ (background flow speed u_i , comoving p_i')
- diffusion approximation $f = f_0 + f_1$, with $\langle f_1 \rangle = 0$



Relativistic PTE Generalisation

Particle Transport Equation (PTE) - mixed frame - for isotopic distribution function $f_0(x^{\alpha},p)$, with $x^{\alpha} = (ct,x,y,z,)$ and metric tensor $g_{\alpha\beta}$

(fluid four velocity u^{α} and fluid four acceleration $\mathring{u}_{\alpha} = U^{\beta}U_{\alpha;\beta}$)



(Webb 1989; cf. also FR & Mannheim 2002; Webb+ 2018)

shear term

 Γ relativistic shear coefficient

Relativistic PTE Generalisation

Particle Transport Equation (PTE) - mixed frame - for isotopic distribution function $f_0(x^{\alpha},p)$, with $x^{\alpha} = (ct,x,y,z,)$ and metric tensor $g_{\alpha\beta}$

(fluid four velocity u^{α} and fluid four acceleration $\mathring{u}_{\alpha} = U^{\beta}U_{\alpha;\beta}$)

$$\begin{split} \nabla_{\alpha} \Biggl[c u^{\alpha} f_{0} - \kappa (g^{\alpha\beta} + u^{\alpha} u^{\beta}) \Biggl(\frac{\partial f_{0}}{\partial x^{\beta}} - \dot{u}_{\beta} \frac{(p^{0})^{2}}{p} \frac{\partial f_{0}}{\partial p} \Biggr) \Biggr] \\ &+ \frac{1}{p^{2}} \frac{\partial}{\partial p} \Biggl[-\frac{p^{3}}{3} c u^{\beta}_{\beta} f_{0} + p^{3} \Biggl(\frac{p^{0}}{p} \Biggr)^{2} \\ &\times \kappa \dot{u}^{\beta} \Biggl(\frac{\partial f_{0}}{\partial x^{\beta}} - \dot{u}_{\beta} \frac{(p^{0})^{2}}{p} \frac{\partial f_{0}}{\partial p} \Biggr) - \Biggl[\Gamma \tau p^{4} \frac{\partial f_{0}}{\partial p} \Biggr] = Q. \end{split}$$

(Webb 1989; cf. also FR & Mannheim 2002; Webb+ 2018)

<u>Note</u>: for steady shear flow profile $\overrightarrow{u} = u(r)\overrightarrow{e_z}$, fluid four acceleration $\dot{u}_{\beta} = 0$ and divergence $\nabla_{\beta}u^{\beta} = 0$

shear term

 Γ relativistic shear coefficient

Relativistic PTE Generalisation

Particle Transport Equation (PTE) - mixed frame - for isotopic distribution function $f_0(x^{\alpha},p)$, with $x^{\alpha} = (ct,x,y,z,)$ and metric tensor $g_{\alpha\beta}$

(fluid four velocity u^{α} and fluid four acceleration $\mathring{u}_{\alpha} = U^{\beta}U_{\alpha;\beta}$)



(Webb 1989; cf. also FR & Mannheim 2002; Webb+ 2018)

<u>Note</u>: for steady shear flow profile $\overrightarrow{u} = u(r)\overrightarrow{e_z}$, fluid four acceleration $\dot{u}_{\beta} = 0$ and divergence $\nabla_{\beta}u^{\beta} = 0$ shear term

 Γ relativistic shear coefficient

For introduction & overview...





Galaxies 7 (2019), 78 [review]

An Introduction to Particle Acceleration in Shearing Flows

Frank M. Rieger ^{1,2}

- ¹ ZAH, Institut für Theoretische Astrophysik, Heidelberg University, Philosophenweg 12, 69120 Heidelberg, Germany; f.rieger@uni-heidelberg.de or frank.rieger@mpi-hd.mpg.de
- ² Max-Planck-Institut für Kernphysik, P.O. Box 103980, 69029 Heidelberg, Germany

Received: 15 August 2019; Accepted: 6 September 2019; Published: 10 September 2019



Abstract: Shear flows are ubiquitously present in space and astrophysical plasmas. This paper highlights the central idea of the non-thermal acceleration of charged particles in shearing flows and reviews some of the recent developments. Topics include the acceleration of charged particles by microscopic instabilities in collisionless relativistic shear flows, Fermi-type particle acceleration in macroscopic, gradual and non-gradual shear flows, as well as shear particle acceleration by large-scale velocity turbulence. When put in the context of jetted astrophysical sources such as Active Galactic Nuclei, the results illustrate a variety of means beyond conventional diffusive shock acceleration by which power-law like particle distributions might be generated. This suggests that relativistic shear flows can account for efficient in-situ acceleration of energetic electrons and be of relevance for the production of extreme cosmic rays.

Keywords: shearing flows; relativistic outflows; AGN jets; particle transport; acceleration

1. Introduction

Shear flows are naturally expected in a variety of astrophysical environments. Prominent examples include the rotating accretion flows around compact objects and the relativistic outflows (jets) in gamma-ray bursts (GRBs) or Active Galactic Nuclei (AGN) [1]. On conceptual grounds the jets in AGN are expected to exhibit some internal velocity stratification from the very beginning, with a black hole ergo-spheric driven, highly relativistic (electron-positron) flow surrounded by a slower moving (electron-proton dominated) wind from the inner parts of the disk (e.g., see Refs. [2 3] for

For introduction & overview...





Galaxies 7 (2019), 78 [review]

An Introduction to Particle Acceleration in Shearing Flows

Frank M. Rieger ^{1,2}

- ¹ ZAH, Institut für Theoretische Astrophysik, Heidelberg University, Philosophenweg 12, 69120 Heidelberg, Germany; f.rieger@uni-heidelberg.de or frank.rieger@mpi-hd.mpg.de
- ² Max-Planck-Institut für Kernphysik, P.O. Box 103980, 69029 Heidelberg, Germany

Received: 15 August 2019; Accepted: 6 September 2019; Published: 10 September 2019



Keywords: shearing flows; relativistic outflows; AGN jets; particle transport; acceleration



Kinetic/PIC: Alves, Grismayer+, Liang+, Sironi+...

turbulence: Bykov & Toptygin, Ohira...

1. Introduction

Shear flows are naturally expected in a variety of astrophysical environments. Prominent examples include the rotating accretion flows around compact objects and the relativistic outflows (jets) in gamma-ray bursts (GRBs) or Active Galactic Nuclei (AGN) [1]. On conceptual grounds the jets in AGN are expected to exhibit some internal velocity stratification from the very beginning, with a black hole ergo-spheric driven, highly relativistic (electron-positron) flow surrounded by a slower moving (electron-proton dominated) wind from the inner parts of the disk (e.g., see Refs. [2.3] for

On electron shear acceleration in large-scale jets

Simplified leaky-box model for shear acceleration

$$\begin{aligned} \frac{\partial f}{\partial t} &= \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D_p \frac{\partial f}{\partial p} \right) - \frac{f}{\tau_{esc}} \end{aligned} \qquad (FR \& Duffy 2019) \\ \end{aligned}$$
Momentum-diffusion: $D_p &= \Gamma p^2 \tau_s \propto p^{2+\alpha}$ mean free path: $\lambda = c \tau_s \propto p^{\alpha}$
 $[\alpha = 1/3 \text{ for Kolmogorov}]$
Escape time: $\tau_{esc}(p) \simeq \frac{(\Delta r)^2}{2 \kappa(p)} \propto p^{-\alpha}$ $\Gamma = (c^2/15) \gamma_b(r)^4 (d\beta/dr)^2$
Newer-law solution:
 $f(p) = f_0 p^{-s}$
• PL index s sensitive to
maximum flow speed
• only for relativistic flow
 $s = 3 + \alpha$ obtained. (see also
Webb + 2018)

• PL

• or

sþ s

m

On continuous electron acceleration in large-scale AGN jets

Radiative-loss-limited electron acceleration in mildly relativistic flows



Ansatz: Fokker-Planck equation for f(t,p) incorporating acceleration by <u>stochastic</u> and <u>shear</u>, and losses due to <u>synchrotron</u> and <u>escape</u> for cylindrical jet.

Parameters I: B = 3μ G, $v_{j,max} \sim 0.4c$, $r_j \sim 30$ pc, $\beta_A \sim 0.007$, $\Delta r \sim r_j/10$, mean free path $\lambda = \xi^{-1} r_L (r_L/\Lambda_{max})^{1-q} \propto \chi^{2-q}$, q=5/3 (Kolmogorov), $\xi=0.1$

On continuous electron acceleration in large-scale AGN jets

Radiative-loss-limited electron acceleration in mildly relativistic flows



Ansatz: Fokker-Planck equation for f(t,p) incorporating acceleration by <u>stochastic</u> and <u>shear</u>, and losses due to <u>synchrotron</u> and <u>escape</u> for cylindrical jet.

- ▶ from 2nd Fermi to shear...
- electron acceleration beyond γ~10⁸
 possible
- formation of multi-component particle distribution
- incorporation of escape softens the spectrum

Parameters I: B = 3μ G, $v_{j,max} \sim 0.4c$, $r_j \sim 30$ pc, $\beta_A \sim 0.007$, $\Delta r \sim r_j/10$, mean free path $\lambda = \xi^{-1} r_L (r_L/\Lambda_{max})^{1-q} \propto \chi^{2-q}$, q=5/3 (Kolmogorov), $\xi=0.1$

(cf . also FR & Duffy 2019, 2022; Tavecchio 2021)

On continuous electron acceleration in large-scale AGN jets

Radiative-loss-limited electron acceleration in mildly relativistic flows



Ansatz: Fokker-Planck equation for f(t,p) incorporating acceleration by <u>stochastic</u> and <u>shear</u>, and losses due to <u>synchrotron</u> and <u>escape</u> for cylindrical jet.

- ▶ from 2nd Fermi to shear...
- electron acceleration beyond γ~10⁸
 possible
- formation of multi-component particle distribution
- incorporation of escape softens the spectrum

caveat: simplification of spatial transport; in general, high jet speeds needed.

(cf . also FR & Duffy 2019, 2022; Tavecchio 2021)

Radiative-loss-limited electron acceleration in mildly relativistic flows



On continuous shear acceleration in the kpc-scale jet of Cen A



(Wang, Reville, Liu, FR & Aharonian 2021)

- SED reproduction with shearrelated broken power-law & shock-accelerated seeds
- Kolmogorov turbulence description $\lambda \propto \gamma^{1/3}$
- quasi-linear velocity shear
- parameters: Δr = 100 pc,
 B=17 µG, β₀=0.67
- electron acceleration up to $\gamma \approx 10^8$
- estimated (kinetic) jet power
 L_j ~ 4 x 10⁴² erg/s

Developments I

Characterising velocity shears in large-scale jets (Wang, Reville, Mizuno, FR & Aharonian 2023)

- ▶ employ 3D relativistic MHD jet simulations (PLUTO) for vj/c ε [0.6, 0.99]
- examine sheath formation in kinetically dominated jets (KHI) with 0.002< σ <0.2
- study shear flow profile & turbulence spectrum for particle acceleration...
 - typically $W_{sh}/R_j \sim 1/4 1/2$ (transition stage) and $\sim 1/2 4/5$ (deep saturation)...
 - Kolmogorov-type ($q \sim 5/3$) turbulence spectra...
 - V9B-3

jet structure and KHI evolution

Developments I

Characterising velocity shears in large-scale jets (Wang, Reville, Mizuno, FR & Aharonian 2023)

- ▶ employ 3D relativistic MHD jet simulations (PLUTO) for vj/c ε [0.6, 0.99]
- examine sheath formation in kinetically dominated jets (KHI) with 0.002< σ <0.2
- study shear flow profile & turbulence spectrum for particle acceleration...
 - typically $W_{sh}/R_j \sim 1/4 1/2$ (transition stage) and $\sim 1/2 4/5$ (deep saturation)...
 - Kolmogorov-type ($q \sim 5/3$) turbulence spectra...



Developments II

On continuous electron acceleration in large-scale AGN jets (FR & Duffy 2022)



Solve full PTE for cylindrical shear flow without radiative losses

- at ultra-relativistic flow speeds, universal PL index recovered: $f \propto p^{-s}$ with $s \rightarrow (3 + \alpha)$
- at mildly relativistic flow speeds,
 PL index gets softer & becomes sensitive to flow profile
- Ist-order FP-type approximation possible...

Developments II

On continuous electron acceleration in large-scale AGN jets (FR & Duffy 2022)



Solve full PTE for cylindrical shear flow without radiative losses

- at ultra-relativistic flow speeds, universal PL index recovered: $f \propto p^{-s}$ with $s \rightarrow (3 + \alpha)$
- at mildly relativistic flow speeds,
 PL index gets softer & becomes sensitive to flow profile
- Ist-order FP-type approximation possible...

allows to constrain flow profile through observed PL index....

Interlude - On highly magnetized shear flows...

- **Example:** 2D **PIC** of relativistic ($\Gamma_y \sim 1.3-3$) highly-magnetized ($\sigma \sim 100$) **e**⁺**e**⁻ jet and stationary, weakly-magnetized ($\sigma \sim 0.1$) ambient **e**⁻**p** - plasma (Sironi+2021)
- focus on wide(r) shear layers with $\Delta \gg c/\omega_p$ (typical model setup: $\Delta = 64 c/\omega_p$)
- initialize with out-plane B_z ($\sigma_z \sim 90$) and in-plane B_y ($\sigma_y \equiv B_{j,y}^2/4\pi n_0 m_e c^2 \simeq 7$)
- ▶ as a result of KHI, field lines get twisted and significant B_x ($\sigma_x \sim 4$) develops...



Interlude - On highly magnetized shear flows...

- **Example:** 2D **PIC** of relativistic ($\Gamma_y \sim 1.3-3$) highly-magnetized ($\sigma \sim 100$) **e**⁺**e**⁻ jet and stationary, weakly-magnetized ($\sigma \sim 0.1$) ambient **e**⁻**p** - plasma (Sironi+2021)
- focus on wide(r) shear layers with $\Delta \gg c/\omega_p$ (typical model setup: $\Delta = 64 c/\omega_p$)
- initialize with out-plane B_z ($\sigma_z \sim 90$) and in-plane B_y ($\sigma_y \equiv B_{j,y}^2/4\pi n_0 m_e c^2 \simeq 7$)
- ▶ as a result of KHI, field lines get twisted and significant B_x ($\sigma_x \sim 4$) develops...



Interlude - On highly magnetized shear flows...

- **Example:** 2D **PIC** of relativistic ($\Gamma_y \sim 1.3-3$) highly-magnetized ($\sigma \sim 100$) **e**⁺**e**⁻ jet and stationary, weakly-magnetized ($\sigma \sim 0.1$) ambient **e**⁻**p** - plasma (Sironi+2021)
- focus on wide(r) shear layers with $\Delta \gg c/\omega_p$ (typical model setup: $\Delta = 64 c/\omega_p$)
- initialize with out-plane B_z ($\sigma_z \sim 90$) and in-plane B_y ($\sigma_y \equiv B_{j,y}^2/4\pi n_0 m_e c^2 \simeq 7$)
- ▶ as a result of KHI, field lines get twisted and significant B_x ($\sigma_x \sim 4$) develops...
- electron acceleration in reconnection layers to $\gamma_e \sim 30 \Rightarrow$ seeds for further shear acceleration



26

On UHECR acceleration in shearing large-scale AGN jets



On gradual shear in mildly relativistic, large-scale AGN jets



Figure 2. Allowed parameter range (shaded) for shear acceleration of CR protons to energies $E'_p = 10^{18}$ eV for a particle mean free path $\lambda' \propto p'^{\alpha}$ with $\alpha = 1/3$ (corresponding to Kolmogorov type turbulence q = 5/3). A flow Lorentz factor $\gamma_b(r_0) = 3$ has been assumed.

$$(t_{acc,shear} \propto \chi^{q-2})$$

Potential for UHECR acceleration:

need jet widths such as to

- (1) laterally confine particles,
- (2) beat synchrotron losses,
- (3) operate within system lifetime
- expect KHI-shaped shear width $\Delta r > 0.1 r_j$ (FR & Duffy 2021)
- for protons ~10¹⁸ eV achievable in jets with relatively plausible parameters (i.e., lengths 10 kpc - 1 Mpc, B ~ [1-100] µG)
- escaping CRs may approach $N(E) \propto E^{-1}$

On gradual shear in mildly relativistic, large-scale AGN jets



Figure 2. Allowed parameter range (shaded) for shear acceleration of CR protons to energies $E'_p = 10^{18}$ eV for a particle mean free path $\lambda' \propto p'^{\alpha}$ with $\alpha = 1/3$ (corresponding to Kolmogorov type turbulence q = 5/3). A flow Lorentz factor $\gamma_b(r_0) = 3$ has been assumed.

$$(t_{acc,shear} \propto \chi^{q-2})$$

Potential for UHECR acceleration:

need jet widths such as to

- (1) laterally confine particles,
- beat synchrotron losses, (2)
- operate within system lifetime (3)
- expect KHI-shaped shear width $\Delta r > 0.1 r_i$ (FR & Duffy 2021)
- for protons $\sim 10^{18}$ eV achievable in jets with relatively plausible parameters (i.e., lengths $10 \text{ kpc} - 1 \text{ Mpc}, B \sim [1 - 100] \mu\text{G}$
- escaping CRs may approach $N(E) \propto E^{-1}$
- proton acceleration to $\sim 10^{20}$ eV in mildly relativistic jets appears quite restricted

(cf. also Liu+ 2017; Wang+2021; Webb+ 2018, 2019) 28

On gradual shear in mildly relativistic, large-scale AGN jets



Figure 2. Allowed parameter range (shaded) for shear acceleration of CR protons to energies $E'_p = 10^{18}$ eV for a particle mean free path $\lambda' \propto p'^{\alpha}$ with $\alpha = 1/3$ (corresponding to Kolmogorov type turbulence q = 5/3). A flow Lorentz factor $\gamma_b(r_0) = 3$ has been assumed.

 $(t_{acc,shear} \propto \chi^{q-2})$

caveat: simplification of spatial transport

Potential for UHECR acceleration:

need jet widths such as to

- (1) laterally confine particles,
- beat synchrotron losses, (2)
- operate within system lifetime (3)
- expect KHI-shaped shear width $\Delta r > 0.1 r_i$ (FR & Duffy 2021)
- for protons $\sim 10^{18}$ eV achievable in jets with relatively plausible parameters (i.e., lengths $10 \text{ kpc} - 1 \text{ Mpc}, B \sim [1 - 100] \mu\text{G}$
- escaping CRs may approach $N(E) \propto E^{-1}$
- proton acceleration to $\sim 10^{20}$ eV in mildly relativistic jets appears quite restricted

(cf. also Liu+ 2017; Wang+2021; Webb+ 2018, 2019) 28





Non-gradual shear particle acceleration:

entrainment & recycling of GCR & re-acceleration to 100 EeV in <u>trans-relativistic jets</u> (Kimura+ 2018):

- MC simulations \Rightarrow acceleration leads to hard spectrum for escaping CR $(dN/dE \propto E^{-\alpha}, \alpha \leq 1)$
- more complex UHECR abundance due to injections at TeV-PeV energies, @ E_{inj,i} = 15 Z_i TeV
- diffusion in cocoon (residence time) determines max. energy $E_{i,max} \propto Z_i$

(via $t_{acc} = t_{conf}$, with cycle time in t_{acc} dominated by $\lambda_{i,coc}$ and "confinement" time by accelerator region ~ R_{jet}/c)

Bohm diffusion $\lambda_j \sim r_{\perp}$ inside jet, in cocoon $\lambda_{\rm coc} \propto (E/Z)^2$ at high energies (non-resonant scattering), and $\lambda_{\rm coc} \propto (E/Z)^{1/3}$ at lower (< 5 × 10¹⁷ eV) energies (resonant scattering)

Model parameters: jet length l_j =5 kpc, R_{jet} = 0.5 kpc, B_j =300 µG, B_{coc} =3µG, v_j = 0.7 c, $v_{exp,coc}$ =3000 km/s, thin velocity shear of 0.01 $R_{jet...}$



Non-gradual shear particle acceleration:

entrainment & recycling of GCR & re-acceleration to 100 EeV in <u>trans-relativistic jets</u> (Kimura+ 2018):

- MC simulations \Rightarrow acceleration leads to hard spectrum for escaping CR $(dN/dE \propto E^{-\alpha}, \alpha \leq 1)$
- more complex UHECR abundance due to injections at TeV-PeV energies, @ E_{inj,i} = 15 Z_i TeV
- ▶ diffusion in cocoon (residence time) determines max. energy E_{i,max} ∝ Z_i

(via $t_{acc} = t_{conf}$, with cycle time in t_{acc} dominated by $\lambda_{i,coc}$ and "confinement" time by accelerator region ~ R_{jet}/c)

Bohm diffusion $\lambda_j \sim r_{\perp}$ inside jet, in cocoon $\lambda_{\rm coc} \propto (E/Z)^2$ at high energies (non-resonant scattering), and $\lambda_{\rm coc} \propto (E/Z)^{1/3}$ at lower (< 5 × 10¹⁷ eV) energies (resonant scattering)

Model parameters: jet length l_j =5 kpc, R_{jet} = 0.5 kpc, B_j =300 µG, B_{coc} =3µG, v_j = 0.7 c, $v_{exp,coc}$ =3000 km/s, thin velocity shear of 0.01 $R_{jet...}$

(cf. also Ostrowski 1990, 1998; FR & Duffy 2004)



Non-gradual shear particle acceleration:

entrainment & recycling of GCR & re-acceleration to 100 EeV in <u>trans-relativistic jets</u> (Kimura+ 2018):

- MC simulations \Rightarrow acceleration leads to hard spectrum for escaping CR $(dN/dE \propto E^{-\alpha}, \alpha \leq 1)$
- more complex UHECR abundance due to injections at TeV-PeV energies, @ E_{inj,i} = 15 Z_i TeV
- diffusion in cocoon (residence time) determines max. energy $E_{i,max} \propto Z_i$

(via $t_{acc} = t_{conf}$, with cycle time in t_{acc} dominated by $\lambda_{i,coc}$ and "confinement" time by accelerator region ~ R_{jet}/c)

Bohm diffusion $\lambda_j \sim r_{\perp}$ inside jet, in cocoon $\lambda_{\rm coc} \propto (E/Z)^2$ at high energies (non-resonant scattering), and $\lambda_{\rm coc} \propto (E/Z)^{1/3}$ at lower (< 5 × 10¹⁷ eV) energies (resonant scattering)

Model parameters: jet length l_j =5 kpc, R_{jet} = 0.5 kpc, B_j =300 µG, B_{coc} =3µG, v_j = 0.7 c, $v_{exp,coc}$ =3000 km/s, thin velocity shear of 0.01 $R_{jet...}$

(cf. also Ostrowski 1990, 1998; FR & Duffy 2004)



(cf. also Ostrowski 1990, 1998; FR & Duffy 2004)

Non-gradual shear particle acceleration:

entrainment & recycling of GCR & re-acceleration to 100 EeV in <u>trans-relativistic jets</u> (Kimura+ 2018):

- MC simulations \Rightarrow acceleration leads to hard spectrum for escaping CR $(dN/dE \propto E^{-\alpha}, \alpha \leq 1)$
- more complex UHECR abundance due to injections at TeV-PeV energies, @ E_{inj,i} = 15 Z_i TeV
- ▶ diffusion in cocoon (residence time) determines max. energy E_{i,max} ∝ Z_i

(via $t_{acc} = t_{conf}$, with cycle time in t_{acc} dominated by $\lambda_{i,coc}$ and "confinement" time by accelerator region ~ R_{jet}/c)

Bohm diffusion $\lambda_j \sim r_{\perp}$ inside jet, in cocoon $\lambda_{\rm coc} \propto (E/Z)^2$ at high energies (non-resonant scattering), and $\lambda_{\rm coc} \propto (E/Z)^{1/3}$ at lower (< 5 × 10¹⁷ eV) energies (resonant scattering)

caveat:

all sources assumed to be the same, sensitive to turbulence description, narrow shear layer...

On cosmic-ray acceleration in powerful large-scale jets



"Non-gradual" shear particle acceleration:

recycling of GCR in relativistic ($\Gamma \sim 10$) large-scale jets (Mbarek & Caprioli 2019, 2021):

- ▶ Γ² boost possible ⇒ only few cycles ('espresso shots') needed
 - following test particles in simulated MHD jets (3d, PLUTO)
 - ▶ particle injection spectrum $dN_i/dE_i \propto E_i^{-1}$, spanning gyro-radii range $r_{L,i} \sim (10^{-4} - 10) R_{jet}$
 - modelling effect of unresolved turbulence via gyro-dependent diffusion where $D = \kappa r_L c/3$ with $\kappa = 1,10,100,1000 \Rightarrow$ spectral hardening $dN/dE \propto E^{-\alpha}, \alpha \sim 0.5$ for low $\kappa...$

Resolution of jet simulations ~ $R_{jet}/10$ Model reference parameters: $R_{jet} = 100 \text{ pc}$, $B_j = 100 \mu G$, $\Gamma_0 = 7$ 30

On cosmic-ray acceleration in powerful large-scale jets



"Non-gradual" shear particle acceleration:

recycling of GCR in relativistic ($\Gamma \sim 10$) large-scale jets (Mbarek & Caprioli 2019, 2021):

- ▶ Γ² boost possible ⇒ only few cycles ('espresso shots') needed
 - following test particles in simulated MHD jets (3d, PLUTO)
 - ▶ particle injection spectrum $dN_i/dE_i \propto E_i^{-1}$, spanning gyro-radii range $r_{L,i} \sim (10^{-4} - 10) R_{jet}$
 - modelling effect of unresolved turbulence via gyro-dependent diffusion where $D = \kappa r_L c/3$ with $\kappa = 1,10,100,1000 \Rightarrow$ spectral hardening $dN/dE \propto E^{-\alpha}, \alpha \sim 0.5$ for low $\kappa...$

Resolution of jet simulations ~ $R_{jet}/10$ Model reference parameters: $R_{jet} = 100 \text{ pc}$, $B_j = 100 \mu G$, $\Gamma_0 = 7$ 30

For overview & discussion of shear acceleration of UHECRs

Universe 8 (2022), 607

[review]





Review

Active Galactic Nuclei as Potential Sources of Ultra-High Energy Cosmic Rays

Frank M. Rieger ^{1,2}

- ¹ Institute for Theoretical Physics (ITP), Heidelberg University, Philosophenweg 12, 69120 Heidelberg, Germany; f.rieger@uni-heidelberg.de
- ² Max–Planck–Institut für Kernphysik (MPIK), P.O. Box 103980, 69029 Heidelberg, Germany

Abstract: Active Galactic Nuclei (AGNs) and their relativistic jets belong to the most promising class of ultra-high-energy cosmic ray (UHECR) accelerators. This compact review summarises basic experimental findings by recent instruments, and discusses possible interpretations and astrophysical constraints on source energetics. Particular attention is given to potential sites and mechanisms of UHECR acceleration in AGNs, including gap-type particle acceleration close to the black hole, as well as first-order Fermi acceleration at trans-relativistic shocks and stochastic shear particle acceleration in large-scale jets. It is argued that the last two represent the most promising mechanisms given our current understanding, and that nearby FR I type radio galaxies provide a suitable environment for UHECR acceleration.

Keywords: ultra high energy cosmic rays; particle acceleration; radio Galaxies; relativistic jets



Citation: Rieger, F.M. Active Galactic Nuclei as Potential Sources of Ultra-High Energy Cosmic Rays. *Universe* 2022, *8*, 607. https:// doi.org/10.3390/universe8110607

1. Introduction

The energy spectrum of cosmic rays runs over more than ten orders of magnitudes, from GeV energies to $\sim 10^{20}$ eV. While supernova remnants are believed to be the most probable sources of cosmic rays at lower energies (i.e., up to the 'knee' at $\sim 3 \times 10^{15}$ eV) [1,2], the origin of ultra-high-energy cosmic rays (UHECRs, $E \ge 10^{18}$ eV = 1 EeV) is much less understood. While thought to be of extragalactic origin [3], the real astrophysical sources are still to be deciphered. Possible candidate sources include Active Galactic Nuclei (AGNs)

Summary

Particle Acceleration in Astrophysical Shear Flows:

- needs relativistic flow speeds to work efficiently (hard spectra)
- ▶ depends on seed injection for electrons (⇒ e.g., shocks)
- represent a 'natural' mechanism in AGN jets
- ▶ origin of ultra-relativistic electrons & extended emission
- multiple power-law formation possible...
- spectral shape (power-law index) indicative of flow profile...
- ▶ large-scale AGN jets as possible UHE accelerators....

Outlook & tasks ahead:

- incorporation in jet simulations...
- UHECR characteristics for AGNs...
- ▶ and many more...







Summary

Particle Acceleration in Astrophysical Shear Flows:

- needs relativistic flow speeds to work efficiently (hard spectra)
- ▶ depends on seed injection for electrons (⇒ e.g., shocks)
- represent a 'natural' mechanism in AGN jets
- origin of ultra-relativistic electrons & extended emission
- multiple power-law formation possible...
- spectral shape (power-law index) indicative of flow profile...
- ▶ large-scale AGN jets as possible UHE accelerators....

Outlook & tasks ahead:

- incorporation in jet simulations...
- UHECR characteristics for AGNs...
- ▶ and many more...







Thank you! & Questions ?